

Notice to Participating Organizations, Members and Subscribers

February 5, 2013 2013-004

Penson Financial Services Canada Inc. – Surrender of Toronto Stock Exchange Participating Organization, TSX Vent ure Exchange Member, TMX Select Subscriber and Alpha Exchange Member Status

Penson Financial Services Canada Inc. has voluntarily surrendered its status as a Toronto Stock Exchange Participating Organization, TSX Venture Exchange Member, TMX Select Subscriber and Alpha Exchange Member effective February 5, 2013.

Penson Financial Services Canada Inc. traded under broker code 005.

For additional information please contact:

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About TMX Group (TSX-X)

TMX Group's key subsidiaries operate cash and derivative markets and clearinghouses for multiple asset classes including equities, fixed income and energy. Toronto Stock Exchange, TSX Venture Exchange, TMX Select, Alpha Group, The Canadian Depository for Securities, Montreal Exchange, Canadian Derivatives Clearing Corporation, Natural Gas Exchange, Boston Options Exchange, Shorcan, Shorcan Energy Brokers, Equicom and other TMX Group companies provide listing markets, trading markets, clearing facilities, depository services, data products and other services to the global financial community. TMX Group is headquartered in Toronto and operates offices across Canada (Montreal, Calgary and Vancouver), in key U.S. markets (New York, Houston, Boston and Chicago) as well as in London, Beijing and Sydney. For more information about TMX Group, visit our website at www.tmx.com. Follow TMX Group on Twitter at http://twitter.com/tmxgroup.

A French version of this notice is available on our web site at www.tmx.com. Une version française de cet avis est disponible sur notre site Web à l'adresse www.tmx.com.